An attention-based explanation for some exhaustivity operators

Matthijs Westera

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Sinn & Bedeutung, Edinburgh, September 2016

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- install the attention-based account, on grounds that
 - it solves many known problems for the standard recipe.
 - it (partially) generates existing exhaustivity operators.

An attention-based explanation for some exhaustivity operators

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Outline

- 1. Problems for the standard recipe
- 2. Formal, attention-based account

3. Deriving exhaustivity operators

Conclusion

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(Alternative: Hurford's constraint and local exh.

► Hurford 1974; Katzir & Singh 2013.)

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Conclusion

► Montague's *Intensional Logic* (IL)

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 - b doxastic (KD45) modalities for the speaker (□, ◊);
 - a, b, \ldots of type $\langle s, t \rangle$ (propositions);
 - \blacktriangleright $\mathcal{A}, \mathcal{B}, \ldots$ of type $\langle \langle s, t \rangle, t \rangle$ (sets of propositions);
 - ▶ set-theoretical shorthands (\subseteq , \cap , ...) for any $\langle *, t \rangle$;

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 - attention-maxims: A-Quality, A-Relation, A-Quantity;
 - fix interpretation in admissible models (cf. meaning postulates);

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$$\begin{split} &\mathsf{I-Quality}(p) = \Box^{\vee} p \\ &\mathsf{I-Relation}(\mathcal{Q},p) = \mathcal{Q}(p) \\ &\mathsf{I-Quantity}(\mathcal{Q},p) = \forall q \bigg(\begin{pmatrix} \mathsf{I-Quality}(q) \land \\ \mathsf{I-Relation}(\mathcal{Q},q) \end{pmatrix} \to (p \subseteq q) \bigg) \end{split}$$

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Alternative, equivalent formulation of I-Quantity:

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The starting point for the standard recipe.

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A-Quality(\mathcal{A})

A-Relation(Q, A)

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$$\begin{aligned} \mathsf{A-Quality}(\mathcal{A}) &= \forall a \, (\mathcal{A}(a) \to \lozenge^{\vee} a) & \textit{(first attempt)} \\ \mathsf{A-Relation}(\mathcal{Q}, \mathcal{A}) &= \forall a (\mathcal{A}(a) \to \mathcal{Q}(a)) \\ \mathsf{A-Quantity}(\mathcal{Q}, \mathcal{A}) &= \forall a \left(\begin{pmatrix} \mathsf{A-Quality}(\{a\}) \, \land \\ \mathsf{A-Relation}(\mathcal{Q}, \{a\}) \end{pmatrix} \to \mathcal{A}(a) \right) \end{aligned}$$

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$$\begin{aligned} \mathsf{A-Quality}(\mathcal{A}) &= \forall a \, (\mathcal{A}(a) \to \lozenge^{\vee} a) & \textit{(first attempt)} \\ \mathsf{A-Relation}(\mathcal{Q}, \mathcal{A}) &= \forall a (\mathcal{A}(a) \to \mathcal{Q}(a)) \\ \mathsf{A-Quantity}(\mathcal{Q}, \mathcal{A}) &= \forall a \bigg(\begin{pmatrix} \mathsf{A-Quality}(\{a\}) \, \land \\ \mathsf{A-Relation}(\mathcal{Q}, \{a\}) \end{pmatrix} \to \mathcal{A}(a) \bigg) \end{aligned}$$

Alternative, equivalent formulation of A-Quantity:

$$\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \, ((\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \neg \lozenge^{\vee} a)$$

A-maxims: For an attentional intent \mathcal{A} and a QUD \mathcal{Q} :

$$\begin{aligned} &\mathsf{A}\text{-Quality}(\mathcal{A}) = \forall a \, (\mathcal{A}(a) \to \lozenge^{\vee} a) & \textit{(first attempt)} \\ &\mathsf{A}\text{-Relation}(\mathcal{Q}, \mathcal{A}) = \forall a (\mathcal{A}(a) \to \mathcal{Q}(a)) \\ &\mathsf{A}\text{-Quantity}(\mathcal{Q}, \mathcal{A}) = \forall a \bigg(\begin{pmatrix} \mathsf{A}\text{-Quality}(\{a\}) \, \land \\ \mathsf{A}\text{-Relation}(\mathcal{Q}, \{a\}) \end{pmatrix} \to \mathcal{A}(a) \bigg) \end{aligned}$$

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$$\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \, ((\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \Box \neg^{\vee} a)$$

Not quite right, e.g.:

(2) Who (of John, Mary and Bill) was at the party?
c. John, or everyone. (Exh.: if Mary/Bill, then everyone.)

A-maxims: For an attentional intent \mathcal{A} and a QUD \mathcal{Q} :

$$\begin{aligned} &\mathsf{A}\text{-}\mathsf{Quality}(\mathcal{A}) = \forall a \, (\mathcal{A}(a) \to \lozenge^{\vee} a) \\ &\mathsf{A}\text{-}\mathsf{Relation}(\mathcal{Q}, \mathcal{A}) = \forall a (\mathcal{A}(a) \to \mathcal{Q}(a)) \\ &\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q}, \mathcal{A}) = \forall a \bigg(\left(\begin{array}{c} \mathsf{A}\text{-}\mathsf{Quality}(\{a\}) \land \\ \mathsf{A}\text{-}\mathsf{Relation}(\mathcal{Q}, \{a\}) \end{array} \right) \to \mathcal{A}(a) \bigg) \end{aligned}$$

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Not quite right, e.g.:

A-maxims: For an attentional intent \mathcal{A} and a QUD \mathcal{Q} :

$$\begin{split} &\mathsf{A}\text{-}\mathsf{Quality}(\mathcal{A}) = \forall a \, (\mathcal{A}(a) \to \Diamond ({}^{\lor}a &)) \\ &\mathsf{A}\text{-}\mathsf{Relation}(\mathcal{Q},\mathcal{A}) = \forall a (\mathcal{A}(a) \to \mathcal{Q}(a)) \\ &\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \bigg(\begin{pmatrix} \mathsf{A}\text{-}\mathsf{Quality}(\{a\}) \, \land \\ \mathsf{A}\text{-}\mathsf{Relation}(\mathcal{Q},\{a\}) \end{pmatrix} \to \mathcal{A}(a) \bigg) \end{split}$$

Alternative, equivalent formulation of A-Quantity:

$$\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \, ((\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \Box \neg^{\vee} a)$$

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Alternative, equivalent formulation of A-Quantity:

$$\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \begin{pmatrix} (\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \\ \neg & \end{pmatrix}$$

Not quite right, e.g.:

A-maxims: For an attentional intent \mathcal{A} and a QUD \mathcal{Q} :

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$$\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \begin{pmatrix} (\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \\ \neg^{\lor} a \lor \\ \exists b (\mathcal{A}(b) \land (b \subset a) \land {}^{\lor} b) \end{pmatrix}$$

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$$\mathsf{A}\text{-Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \begin{pmatrix} (\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \\ \neg^{\lor} a \lor \\ \exists \beta (\mathcal{A}(b) \land (b \subset a) \land {}^{\lor} b) \end{pmatrix}$$

Better:

```
(2) a. John. (Exh.: not Mary or Bill.)
b. John, or both John and Mary. (Exh.: not Bill.)
c. John, or everyone. (Exh.: if Mary/Bill, then everyone.)
```

```
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b. John, or both John and Mary. (Exh.: not Bill.)
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```

Let $Q = {^{\land}Pj, ^{\land}Pm, ^{\land}Pb, \ldots}$ (closed under intersection)

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Let $Q = {^{\land}Pj, ^{\land}Pm, ^{\land}Pb, \ldots}$ (closed under intersection)



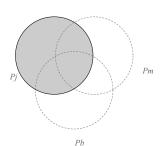
(2) a. John. (Exh.: not Mary or Bill.)

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c. John, or everyone. (Exh.: if Mary/Bill, then everyone.)

Let $Q = {^{\land}Pj, ^{\land}Pm, ^{\land}Pb, ...}$ (closed under intersection), and:

• (2a):
$$A = {^{\land}Pj};$$



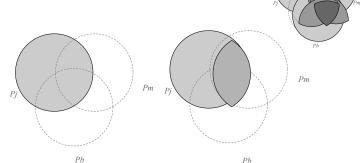


- (2) a. John. (Exh.: not Mary or Bill.)
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Let $Q = {^{\land}Pj, ^{\land}Pm, ^{\land}Pb, ...}$ (closed under intersection)

• (2a): $A = {^{\land}Pj};$

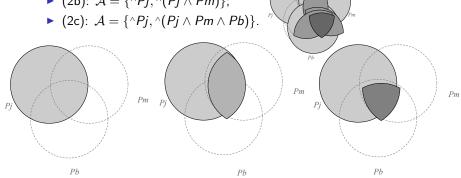
 $\qquad \qquad \bullet \quad \text{(2b): } \mathcal{A} = \{^{\wedge}Pj, ^{\wedge}(Pj \wedge Pm)\};$



- (2) a. John. (Exh.: not Mary or Bill.)
 - b. John, or both John and Mary. (Exh.: not Bill.)
 - (Exh.: if Mary/Bill, then everyone.) c. John, or everyone.

Let $Q = {^{\land}Pi, ^{\land}Pm, ^{\land}Pb, ...}$ (closed under intersection)

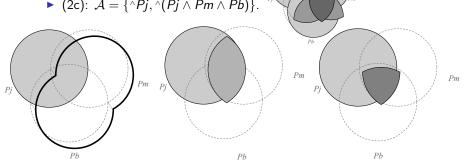
- (2a): $A = {^{\land}P_i}$;
- $(2b): \mathcal{A} = {^{\wedge}Pj, ^{\wedge}(Pj \wedge Pm)};$



- (2) a. John. (Exh.: not Mary or Bill.)
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Let $Q = {^{\land}Pi, ^{\land}Pm, ^{\land}Pb, ...}$ (closed under intersection)

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- $(2b): \mathcal{A} = {^{\wedge}Pj, ^{\wedge}(Pj \wedge Pm)};$
- $(2c): \mathcal{A} = {^{\wedge}Pj, ^{\wedge}(Pj \wedge Pm \wedge Pb)}.$



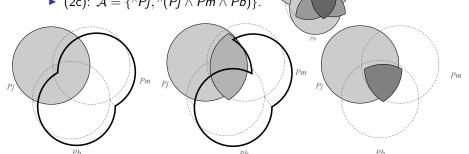
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$$A = {^{\land}Pj};$$

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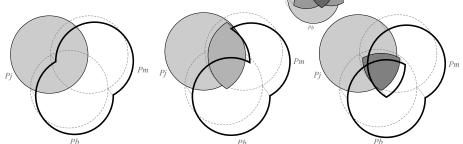
 $(2c): \mathcal{A} = {^{\wedge}Pj, ^{\wedge}(Pj \wedge Pm \wedge Pb)}.$



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- (2a): $A = {^{\land}Pj};$
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- ▶ (2a): $\mathbf{M} \models A$ -Quantity $(\mathcal{Q}, \mathcal{A}) = (\Box \neg Pm \land \Box \neg Pb)$
- ► (2b):
- ▶ (2c):

- (2) a. John. (Exh.: not Mary or Bill.)
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Let $Q = {^{\land}Pj, ^{\land}Pm, ^{\land}Pb, \ldots}$ (closed under intersection)

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- ▶ (2a): $\mathbf{M} \models A$ -Quantity $(\mathcal{Q}, \mathcal{A}) = (\Box \neg Pm \land \Box \neg Pb)$
- ▶ (2b): **M** \models A-Quantity(\mathcal{Q}, \mathcal{A}) = $\left(\Box \neg Pb \land \right)$
- ▶ (2c):

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- ▶ (2a): $\mathbf{M} \models A$ -Quantity $(\mathcal{Q}, \mathcal{A}) = (\Box \neg Pm \land \Box \neg Pb)$
- ▶ (2b): $\mathbf{M} \models \text{A-Quantity}(\mathcal{Q}, \mathcal{A}) = \begin{pmatrix} \Box \neg Pb \land \\ \Box (Pm \rightarrow (Pj \land Pm)) \end{pmatrix}$
- ▶ (2c):

- (2) a. John. (Exh.: not Mary or Bill.)
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- ▶ (2c): $\mathbf{M} \models A$ -Quantity(\mathcal{Q}, \mathcal{A}) = $\begin{pmatrix} \Box (Pm \to (Pj \land Pm \land Pb)) \land \\ \Box (Pb \to (Pj \land Pm \land Pb)) \end{pmatrix}$

Outline

1. Problems for the standard recipe

2. Formal, attention-based account

3. Deriving exhaustivity operators

Conclusion

Repeated:

$$\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \begin{pmatrix} (\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \\ \neg^{\lor} a \lor \\ \exists \mathit{b}(\mathcal{A}(\mathit{b}) \land (\mathit{b} \subset a) \land {}^{\lor} \mathit{b}) \end{pmatrix}$$

Repeated:

$$\mathsf{A-Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \begin{pmatrix} (\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \\ \neg^{\vee} a \lor \\ \exists b (\mathcal{A}(b) \land (b \subset a) \land {}^{\vee} b) \end{pmatrix}$$

Repeated:

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$$\mathrm{EXH}(\mathcal{Q},\mathcal{A}) = {}^{\wedge}\forall a \left(\begin{array}{c} (\mathcal{Q}(a) \wedge \neg \mathcal{A}(a)) \to \\ (\neg^{\vee} a \vee \exists b (\mathcal{A}(b) \wedge (b \subset a) \wedge^{\vee} b)) \end{array} \right)$$

Repeated:

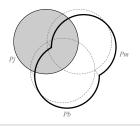
$$\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \begin{pmatrix} (\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \\ \neg^{\lor} a \lor \\ \exists \beta (\mathcal{A}(b) \land (b \subset a) \land {}^{\lor} b) \end{pmatrix}$$

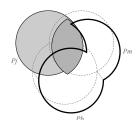
$$\mathrm{Exh}(\mathcal{Q},\mathcal{A}) = {}^{\wedge}\forall a \left(\begin{matrix} (\mathcal{Q}(a) \wedge \neg \mathcal{A}(a)) \to \\ (\neg^{\vee} a \vee \exists b (\mathcal{A}(b) \wedge (b \subset a) \wedge^{\vee} b)) \end{matrix} \right)$$

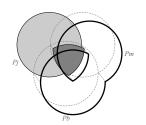
Repeated:

$$\mathsf{A}\text{-Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \begin{pmatrix} (\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \\ \neg^{\lor} a \lor \\ \exists \mathit{b}(\mathcal{A}(\mathit{b}) \land (\mathit{b} \subset a) \land {}^{\lor} \mathit{b}) \end{pmatrix}$$

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Repeated:

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Repeated:

$$\mathsf{A}\text{-}\mathsf{Quantity}(\mathcal{Q},\mathcal{A}) = \forall a \begin{pmatrix} (\mathcal{Q}(a) \land \neg \mathcal{A}(a)) \to \\ \neg^{\vee} a \lor \\ \exists b (\mathcal{A}(b) \land (b \subset a) \land^{\vee} b) \end{pmatrix}$$

A convenient shorthand:

$$\mathrm{EXH}(\mathcal{Q},\mathcal{A}) = {}^{\wedge}\forall a \begin{pmatrix} (\mathcal{Q}(a) \wedge \neg \mathcal{A}(a)) \to \\ (\neg^{\vee} a \vee \exists b (\mathcal{A}(b) \wedge (b \subset a) \wedge^{\vee} b)) \end{pmatrix}$$

Alternative, equivalent definition:

$$\text{EXH}(\mathcal{Q},\mathcal{A}) = \bigcap_{\substack{a \in \mathcal{Q} \\ a \notin \mathcal{A}}} \left(\overline{a} \cup \bigcup_{\substack{b \in \mathcal{A} \\ b \subset a}} b \right)$$

The basic idea (Van Rooij & Schulz 2006; Spector 2007):

- remove all worlds from the informational intent...
- ▶ in which the set of relevant true propositions isn't minimal.

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```
 \begin{split} \llbracket \mathrm{Exh}_{\mathsf{mw}}(p,\mathcal{Q}) \rrbracket &= \{ w \in \llbracket p \rrbracket \mid \mathsf{there is no } w' \in \llbracket p \rrbracket \mathsf{ such that: } \\ \{ W' \in \llbracket \mathcal{Q} \rrbracket \mid w' \in W' \} \subset \{ W' \in \llbracket \mathcal{Q} \rrbracket \mid w \in W' \} \} \end{split}
```

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Fact. For any admissible model \mathbf{M} where $\mathcal{A} = \{p\}$, and these intents can comply with the maxims relative to \mathcal{Q} :

$$\mathbf{M} \models \operatorname{Exh}_{\mathsf{mw}}(p, \mathcal{Q}) = p \cap \operatorname{Exh}(\mathcal{A}, \mathcal{Q})$$

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Thus:

- ▶ if attention doesn't really matter, my EXH is conservative;
- though only as a purely technical device;
- my account makes very different predictions (e.g., problems A.-D.).

3.3. Comparison to "dynamic" operator

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For any admissible model **M** s.t. $p = \bigcup \mathcal{A}$, \mathcal{Q} is closed under inters., and p and \mathcal{A} can comply with the maxims relative to \mathcal{Q} : $\mathbf{M} \models \mathrm{Exh}_{\mathsf{dvn}}(\mathcal{A}, \mathcal{Q}) = (p \cap \mathrm{Exh}(\mathcal{A}, \mathcal{Q}))$

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 \llbracket \operatorname{ExH}_{\mathsf{dyn}}(\mathcal{A},\mathcal{Q}) \rrbracket = \{ w \mid \text{for some } W' \in \llbracket \mathcal{A} \rrbracket \colon w \in W' \text{ and there is no } w' \in W' \text{ s.t. } \{ W' \in \llbracket \mathcal{Q} \rrbracket \mid w' \in W' \} \subset \{ W' \in \llbracket \mathcal{Q} \rrbracket \mid w \in W' \} \}
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But again: empirically our accounts make very different predictions.

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- ▶ if these assumptions are unwarranted:
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 - and existing operators remain unexplained.

Outline

1. Problems for the standard recipe

2. Formal, attention-based account

Deriving exhaustivity operators

Conclusion

The standard recipe was wrong.

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But an alternative pragmatic account is available:

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- speakers intentionally share attention;
- governed by the A-maxims;
- exhaustivity derives from A-Quantity:
 - "intend to draw attention to all relevant propositions that you consider possible independently of anything stronger to which you intend to draw attention."
- the predicted implications are technically similar to the patterns described by (some) existing operators.

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